

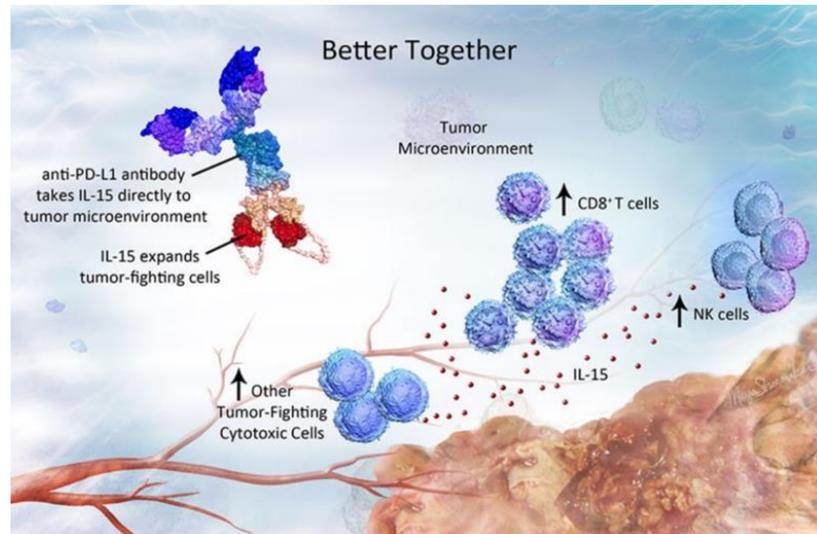
# A Phase 1 Multiple Ascending Dose Study to Investigate the Safety, Pharmacokinetics, Pharmacodynamics, and Preliminary Efficacy of KD033 in Subjects With Metastatic or Locally Advanced Solid Tumors

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## Mechanism of Action

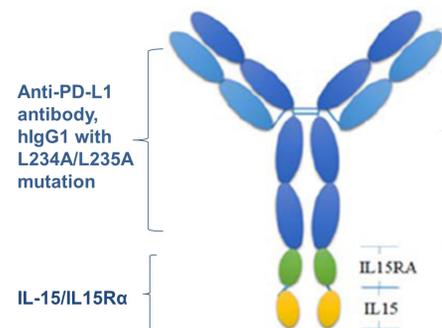
KD033 is an anti-PD-L1/IL-15 fusion protein and is the lead molecule from Kadmon's IL-15 fusion protein platform.



IL-15 is an immunostimulatory cytokine that expands key tumor-fighting immune cell types, including natural killer (NK), natural killer T (NKT) and memory T cells without expanding immunosuppressive Treg cells, allowing for robust and durable anti-tumor responses

## Background

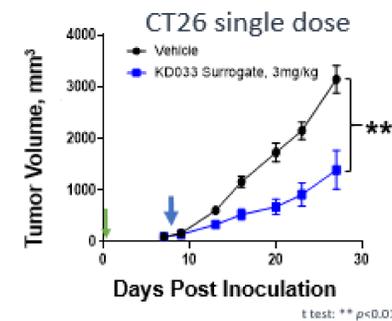
KD033 is a fusion antibody molecule generated by combining a fully human, high affinity anti-human Programmed Death Ligand 1 (PD-L1) IgG1 antibody with the human IL-15 receptor alpha (IL15Rα) sushi domain and human IL-15 (IL-15). The fusion of anti-PD-L1 antibody to IL-15 significantly increases the maximal-tolerated dose (MTD) and increased efficacy in mice compared to free-IL-15. The increased safety and efficacy of PD-L1-targeted IL-15 observed in pre-clinical studies warranted evaluation of KD033 in humans.



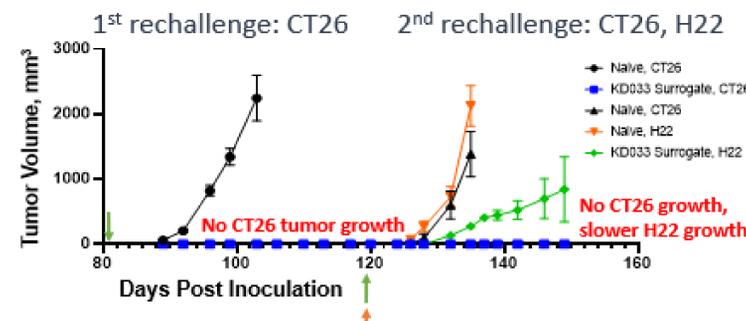
AACR 2021  
Abstract Number: CT227  
NCT04242147

## KD033 Surrogate Generated Memory Responses in Mice

KD033 Surrogate treatment resulted in robust anti-tumor response in syngeneic mouse model of colon carcinoma



KD033 Surrogate-treated tumor-free animals rejected the same and unrelated tumor growth without further treatment

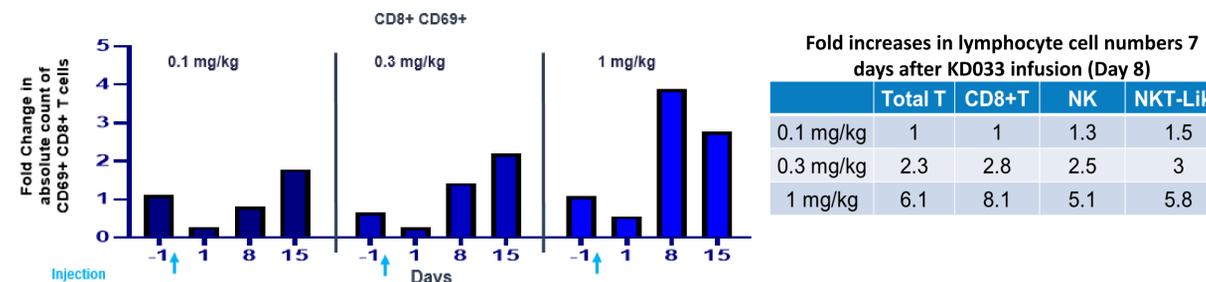


CT26 colon carcinoma, single dose KD033 Surrogate on day 9 post inoculation, no further treatments

↑ KD033 Surrogate injection ↑ CT26 inoculation ↑ H22 inoculation

KD033 Surrogate is the murine cross-reactive KD033 used in mouse studies

## In Cynomolgus Monkey, KD033 Activates Lymphocytes and Induces Proliferation of CD8+ T and NK Cells in PBMCs



- Sustained CD8+ T and NK cell activation and proliferation in cynomolgous monkeys after a single dose of KD033
- Increase in activated CD8+ T cell was observed after KD033 infusion
- Increase in cell numbers were observed 7 days after KD033 infusion (Day 8)

## Phase 1 Trial Design

Phase 1a: Single Agent Dose Escalation  
'3 + 3' Design – 3 Patients Per Cohort



SRC recommendation of RP2D of KD033 based on MTD, drug exposure and biological effect

Phase 1b: Single Agent Expansion at RP2D

PD-1/PD-L1 Relapsed / Refractory  
~15 Patients

## Objectives

The primary objective is to determine the safety and tolerability and the MTD/RP2D of KD033. KD033 is administered as an IV infusion over 30 minutes every 14 days (i.e., a cycle equals 2 weeks).

Secondary objectives include characterization of PK and immunogenicity, evaluation of immune correlates that will potentially differentiate the impact of KD033 versus monotherapy as well as investigate IL-15 biology and determine best overall response and duration of response.

## Methodology

- The starting dose of KD033 is 3 µg/kg, with step-up dosing to 12.5 µg/kg in the first cohort of 3 subjects.
- After the first cohort, the dose escalation will use a standard 3+3 design with planned doses from 25 µg/kg to 600 µg/kg.
- The dose escalation phase will be followed by one expansion cohort, which will enroll patients with solid tumors who received PD-1/PD-L1 inhibitor but have either progressed or are refractory to therapy.

## Key Inclusion Criteria

- Male or female subjects aged ≥ 18 years at the time of Screening
- Histologically or cytologically confirmed/documented advanced and/or metastatic solid tumor, with at least one tumor lesion of location accessible to biopsy
- Patients must be willing to provide a tumor biopsy at the following time points: Pre treatment and at Cycle 4, Day 1 (Biopsy is optional for patients enrolled in first 3 dose escalation cohorts)
- Radiologically measurable disease at baseline - More than 1 lesion, not previously irradiated, that can be accurately measured at baseline as ≥ 10 mm in the longest diameter (except lymph nodes which must have a short axis of ≥15 mm)
- Life expectancy of at least 3 months
- Eastern Cooperative Oncology Group Performance Status (ECOG PS) score ≤ 1

## Key Exclusion Criteria

- Use of immunotherapy, biological therapy, cytokine therapy or immunomodulating agents < 21 days prior to first dose of study drug
- Use of chemotherapy/TKI therapy <14 days to first dose of study drug
- Anti PD-L1/anti PD-1 therapy < 6 weeks prior to first dose of study drug
- Radiotherapy or systemic therapy with immunosuppressive agents including corticosteroids within 14 days before the start of study drug administration
- Ongoing or recent (within 2 years) evidence of significant autoimmune disease that required systemic immunosuppressive treatments
- History or clinical evidence of central nervous system primary tumors or metastases including leptomeningeal metastases
- Known severe hypersensitivity reactions to monoclonal antibodies, any history of or recent (within 6 months) of anaphylaxis

## Study Status

Cohort 3 enrollment is ongoing.